

SVM ISA TRANSFER APPLICATION FORM



Please complete this form in BLOCK CAPITALS and return it to your financial adviser or send it to **SVM Asset Management Limited, PO Box 11967, Chelmsford CM99 2DS**. If you have any queries about the completion of this form please consult your financial adviser, or telephone 0345 066 1110. Alternatively you can visit our website at www.svmonline.co.uk.

Personal details

Title	Surname
Forename(s) in full	
Date of birth*	
D D	M M Y Y
National Insurance number*	
<input type="checkbox"/>	If you do not have a National Insurance number, please tick this box
Permanent residential address	
Postcode	

Existing customer reference number (if any)

Daytime telephone number

Email address (for monthly fund updates - we do not send account details by email)

*Important details required by the HMRC. You should be able to find your National Insurance number on a payslip, forms P45 or P60, a letter from HMRC, a letter from DWP, or a pension order book.

Investment details

Funds	Allocation in %
SVM All Europe SRI Fund	%
SVM Continental Europe Fund	%
SVM UK Growth Fund	%
SVM UK Opportunities Fund	%
SVM World Equity Fund	%
Total	%

Please indicate the Fund(s) you wish to invest in. You may invest into any of the Funds, subject to the minimum transfer sum of £1,000, with a minimum of £200 per fund selected.

Please note: You may only transfer the cash value of an ISA held with other managers into the SVM ISA.

There is a minimum transfer amount of £1,000. You may only transfer the cash value of an ISA held with other managers onto the SVM ISA Plan.

IMPORTANT: You must read and complete the declaration and signature box(es) overleaf before returning this form

Further investment in current tax year

If you have not used your full ISA allowance for the current tax year 2022/2023, which is £20,000, and wish to top up your investments in our funds, please send a letter with your instructions together with a Sterling cheque from your personal bank account made payable to '**SVM Asset Management Limited**'.

Share class selection

Please read this section carefully; it is important you select the correct share class for you. If you select the wrong share class there may be administrative costs if you request a correction.

Class A Shares are intended for direct investment by retail investors who have not taken advice from an investment professional. The minimum investment is £1,000.

I wish to invest into the **Class A shares**.

Class B Shares are intended for institutional investors and investors who have received advice in relation to their investment. No commission or initial charge is payable on Class B Shares. The minimum initial investment amount for Class B Shares is £250,000. However if you invest through a Financial Adviser this will be discounted to £1,000.

I wish to invest into the **Class B shares**.

Agent/Financial Adviser only

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business)

FCA number

Declaration

I wish to subscribe the total amount detailed on this transfer form of my transferred ISA(s) to the SVM ISA Transfer Plan.

- **I declare** that I am over 18 years of age and that this application form has been completed to the best of my knowledge and belief.
- **I understand** that you may require additional information from me in accordance with the Money Laundering Regulations.
- **I have read and agree to be bound by the Key Investor Information Document(s) and Supplementary Information Document (incorporating the terms and conditions relating to this investment).**
- **I agree** to inform you of any changes in my circumstances.
- **I am resident in the UK** for tax purposes or, if not so resident, either performing duties which, by virtue of Section 28 of the Income Tax (Earnings & Pension) Act 2003 (Crown employees serving overseas) are treated as being performed in the UK or I am married to, or in a civil partnership with, a person who performs such duties, I will inform SVM Asset Management if I cease to be so resident and or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties.
- **I confirm** I am not a US citizen or a resident in US or any of its dependencies and have not been so within three years and that I am not liable to pay US tax. I further confirm that I am not making this investment on behalf of any US citizen or any person resident in US or any of its dependencies or who has been so resident within three years. I undertake to advise you immediately if any of the foregoing statements cease to be correct and understand that my investment in the Funds may require to be redeemed forthwith.
- **I authorise** SVM Asset Management to: (1) hold my cash subscriptions, ISA investments, interest, and any other rights or proceeds in respect of those investments; (2) make on my behalf, any claims to relief from tax in respect of my ISA investments; and (3) on my written request to transfer or pay to me, the ISA investments, interest, and any other, rights or proceeds in respect of such investments, or any cash held in the ISA.
- **I understand and agree** that information about me may be obtained from credit reference and fraud prevention agencies to verify my identity.

I confirm that I have read the latest Key Investor Information Document relating to each of the Funds selected.

Note: FAILURE TO TICK THIS BOX COULD RESULT IN YOUR APPLICATION BEING REJECTED DUE TO LEGAL REQUIREMENTS TO OBTAIN THIS CONFIRMATION FROM YOU.

Signature

Date

Important Note: The most recent Key Investor Information Documents, Report & Accounts, Factsheets and Supplementary Information Document for the Funds are available at www.svmonline.co.uk. If you would prefer to receive a printed copy please call our Fund Information line on 0800 0199 440 or write to the Marketing Department, SVM Asset Management Limited, 7 Castle Street, Edinburgh EH2 3AH.

The completed application form should be returned to: SVM Asset Management Limited, PO Box 11967, Chelmsford, CM99 2DS.

Bank Details

We can make redemptions directly to your bank account. The bank account must be the same as the account you used to pay into the investment. If we are unable to verify your bank account, we will make payments by cheque.

Name of Bank

Bank address

Postcode

Bank account number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Branch sort code

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Name(s) of account holder(s)

Data protection

Using your personal information

SVM Asset Management Ltd, as a data controller, will handle all your personal information in accordance with the Data Protection Act 2018 and General Data Protection Regulations.

Personal information provided by you will be held in confidence by SVM Asset Management Limited and its agents and will not be passed on to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so otherwise as agreed with you.

Keeping you informed

We would like to use your personal information to enable us to keep in touch and to send you other information which we think may be of interest to you. Such information could include our monthly 'news and views' email. Under data protection legislation we require your explicit consent to use your personal information for these purposes. If you would like us to keep you informed in this way, please tick the box below.

I consent to SVM using my personal information to keep in touch and to send me other information which may be of interest to me, such as your monthly 'news and views' email (please tick the box).

If at any time you change your mind, please let us know by emailing us at info@svmonline.co.uk. For full details of how we use your personal information please refer to our Privacy Policy on our website.

Calls to SVM are recorded for training and monitoring purposes.

SVM Asset Management is authorised and regulated by the Financial Conduct Authority. Issued April 2022.

ISA to be transferred—the minimum transfer amount is £1,000

Name of current ISA Manager	Please transfer my current year's subscription in cash <input type="checkbox"/>
Address of ISA Manager	Current ISA <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Please note that current year subscriptions must be transferred as a whole. If you have not invested your full allowance of £20,000 then you can do so with us.
Postcode	Previous years
Account/Reference number(s) of ISAs to be transferred	Part transfers are accepted providing your existing plan manager can support this request. Please check with your existing plan manager before completing this form. Please indicate the percentage that you wish to transfer.
Title (Mr/Mrs/Miss/Ms/Other)	Please transfer : %
Surname	
Forename(s) in full	
Address of account holder	
Postcode	

I hereby instruct my current ISA Manager to transfer the above ISA(s) in cash to SVM Asset Management Limited. I also authorise you to provide SVM Asset Management, PO Box 11967, Chelmsford CM99 2DS with any information concerning the above ISA(s) and to accept from them any instruction relating to the ISA(s). This is subject to SVM Asset Management Limited's acceptance of my transfer.

Signature

Date