

SVM FUNDS ICVC SAVING SCHEME FOR CHILDREN DESIGNATED ACCOUNT APPLICATION FORM



This Application Form for a Designated Account should be completed TOGETHER WITH the Bare Trust Form if access to the funds is NOT required by the Applicant before the child is 18 years of age (16 in Scotland). This Application Form ONLY should be completed if the Applicant may need access to the funds before the child is 18 years of age (16 in Scotland) the Bare Trust Form should NOT be completed in this case.

The Applicant(s) remain the beneficial owner(s) of the investment if the Bare Trust Form is not completed.

You must complete a separate Application Form for each child.

Please complete the form(s) in BLOCK CAPITALS and return them, either to your financial adviser, or for direct investment send them to: **SVM Asset Management Limited, PO Box 11967, Chelmsford CM99 2DS** or return them in the reply paid envelope provided. If you have any queries about the completion of the forms please consult your financial adviser, or telephone 0345 066 1110. Alternatively, you can visit our website at www.svmonline.co.uk

Designation

Insert the details of the child on whose behalf the investment is being made. Full name of child

Child's date of birth

 / /

Personal details

Title	Surname	Childs Initials to be used for designation
Forename(s) in full		<input type="text"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Existing customer reference number (if any)
Permanent residential address		<input type="text"/>
<input type="text"/>		Daytime telephone number
Postcode		<input type="text"/>
Email address		Email for monthly fund updates. (For security, we do not send your individual account details by email.)
<input type="text"/>		

Personal details – for joint applicants

Please note: all applicants must sign the declaration overleaf.

Applicant 2	Title	Surname	Forename(s) in full
Permanent residential address	Date of birth		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="text"/>	<input type="text"/>		
<input type="text"/>	<input type="text"/>		
Postcode	<input type="text"/>		
Countries you are a tax resident in, or have been a resident of, in the past two years	Tax identification number		
<input type="text"/>	<input type="text"/>		
<input type="text"/>	<input type="text"/>		
Applicant 3	Title	Surname	Forename(s) in full
Permanent residential address	Date of birth		<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="text"/>	<input type="text"/>		
<input type="text"/>	<input type="text"/>		
Postcode	<input type="text"/>		
Countries you are a tax resident in, or have been a resident of, in the past two years	Tax identification number		
<input type="text"/>	<input type="text"/>		
<input type="text"/>	<input type="text"/>		

IMPORTANT: You must read and complete the declaration and signature box(es) overleaf before returning this form

Investment details

Funds	Lump Sum	Monthly	
SVM All Europe SRI Fund	£	£	Please indicate the Fund(s) you wish to invest in and the method you wish to use, together with the amount. Lump Sum: The minimum investment is a total of £1,000 that can be invested into any of the funds, with a minimum of £200 invested in the fund(s) selected. Monthly: The minimum investment is £100 per month with a minimum investment of £50 per fund. There is no maximum limit. Please complete the Direct Debit section below. Your first payment must be made by a personal Sterling cheque and must be from the same account that the monthly contribution will be made from. Sterling cheques should be payable to 'SVM Asset Management Ltd'.
SVM Continental Europe Fund	£	£	
SVM UK Growth Fund	£	£	
SVM UK Opportunities Fund	£	£	
SVM World Equity Fund	£	£	
Total	£	£	

Please complete the declaration below. If you have indicated above that you wish to save for the child on a monthly basis please also complete and sign the Direct Debit mandate overleaf. Monthly savings will normally be debited on the first business day of the month. Please make the Sterling cheque for your initial lump sum and / or first monthly contribution payable to 'SVM Asset Management Ltd'. **Your first monthly payment must be by personal Sterling cheque from your own account and the same account that the monthly contributions will be made from.** This can be a different amount (minimum £50 per investor and £25 per Fund) than for your subsequent monthly payment by Direct Debit.

Share Class selection

Please read this section carefully; it is important you select the correct share class for you. If you select the wrong share class there may be administrative costs if you request a correction.

Class A Shares are intended for direct investment by retail investors who have not taken advice from an investment professional. The minimum investment is £1,000.

Retail Clients – I am making my own decisions

I have not taken any financial advice on the SVM Funds ICVC and I wish to invest into the Class A shares.

Agent/Financial Adviser – The Retail Client has made their own decision(s) and we are executing this for them.

I/We have not given any financial advice on the SVM Funds ICVC to this client and they wish to invest into the Class A shares.

Class B Shares are intended for Retail Clients who have taken advice in relation to this investment. The minimum investment is £250,000 but your agent/adviser can have this discounted to £1,000.

Agent/Financial Adviser – This has been an advised sale.

I/We have given financial advice on the SVM Funds ICVC to the Retail Client and based upon that advice they wish to invest into the Class B shares.

Agent/Financial Adviser Only

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.)

FCA number

Execution Only

Discount

Commission payable %

Declaration

I/we wish to subscribe the total amount detailed overleaf to the SVM Saving Scheme for Children.

In respect of regular savings, I/we wish to subscribe to the Scheme, under Direct Debit, the amount set out overleaf until further notice.

- **I/We declare** that I am over 18 years of age and that this application form has been completed to the best of my knowledge and belief.
- **I/We understand** that you may require additional information from me in accordance with the money laundering regulations.
- **I/We have read and agree to be bound by the Key Investor Information Document(s) and Supplementary Information Document (incorporating the terms and conditions relating to this investment).**
- **I/We agree** to inform you of any changes in my circumstances.
- **I/We enclose a Sterling cheque made payable to 'SVM Asset Management Ltd'** and I can confirm that I am the beneficial owner of these funds.
- **I/We confirm** I am not a US citizen or a resident in US or any of its dependencies and have not been so within three years and that I am not liable to pay US tax. I further confirm that I am not making this investment on behalf of any US citizen or any person resident in US or any of its dependencies or who has been so resident within three years. I undertake to advise you immediately if any of the foregoing statements cease to be correct and understand that my investment in the Funds may require to be redeemed forthwith.
- **I/We understand and agree** that information about me may be obtained from credit reference and fraud prevention agencies to verify my identity.

I confirm that I have read the latest Key Investor Information Document relating to each of the Funds selected.

Note: FAILURE TO TICK THIS BOX COULD RESULT IN YOUR APPLICATION BEING REJECTED DUE TO LEGAL REQUIREMENTS TO OBTAIN THIS CONFIRMATION FROM YOU.

SIGNATURE(S) All applicants must sign.

Please sign and date the boxes opposite if you are the primary applicant.

Signature

Date

Please sign and date the boxes opposite if you are joint applicants.

Signature

Date

Signature

Date

Signature

Date

Important Note: In order to comply with the Money Laundering Regulations, please supply either:

- (i) a personal Sterling cheque which matches the name on the application form; or
- (ii) a UK Bank/Building Society cheque endorsed with your name to show that you are the account holder.

A cheque drawn on an intermediary's account must be sent with a certificate from that person verifying your identity. If a Sterling cheque from a third party is supplied, the Administrator may request documentation to verify your identity and that of the third party or the application may be rejected.

Designated account: Once the child reaches the age of 18 (16 in Scotland) you can transfer the investment into the child's name by contacting the Administrator to complete a form and provide evidence of the child's identity and signature.

The most recent Factsheets, Report & Accounts, Key Investor Information Documents and Supplementary Information Document for the Funds are available at www.svmonline.co.uk. If you would prefer to receive a printed copy please call our Fund Information line on 0800 0199 440 or write to the Marketing Department, SVM Asset Management Limited, 7 Castle Street, Edinburgh EH2 3AH.

The completed application form, together with your Sterling cheque, payable to SVM Asset Management Ltd, should be returned to: SVM Asset Management Limited, PO Box 11967, Chelmsford CM99 2DS.

If you are investing monthly please note that a personal Sterling cheque is required for your first payment and must be from the same account that the monthly contributions will be made from. Your application cannot be processed without it.

Data Protection

Using your personal information

SVM Asset Management Ltd, as a data controller, will handle all your personal information in accordance with the Data Protection Act 2018 and General Data Protection Regulations.

Personal information provided by you will be held in confidence by SVM Asset Management Limited and its agents and will not be passed on to anyone except where we or any third party acting on our behalf are legally compelled or permitted to do so otherwise as agreed with you.

Keeping you informed

We would like to use your personal information to enable us to keep in touch and to send you other information which we think may be of interest to you. Such information could include our monthly 'news and views' email. Under data protection legislation we require your explicit consent to use your personal information for these purposes. If you would like us to keep you informed in this way, please tick the box below.

I consent to SVM using my personal information to keep in touch and to send me other information which may be of interest to me, such as your monthly 'news and views' email. (Please tick the box.):

If at any time you change your mind, please let us know by emailing us at info@svmonline.co.uk. For full details of how we use your personal information please refer to our Privacy Policy on our website.

Calls to SVM are recorded for training and monitoring purposes.

SVM Asset Management is authorised and regulated by the Financial Conduct Authority. Issued March 2019.

Instruction to your bank or building society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send to:



SVM Asset Management Limited
PO Box 11967,
Chelmsford CM99 2DS

Service user number

2 8 4 1 9 2

Name and full postal address of your bank or building society

Reference – Office use only

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To: The Manager (Bank/Building Society)

Address

Postcode

Instruction to your bank or building society

Please pay SVM Asset Management Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with SVM Asset Management Ltd and if so details will be passed electronically to my Bank/Building Society.

Name(s) of account holder(s) Bank/

building society account number

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Signature(s)

Branch sort code

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Date

Banks and Building Societies may not accept Direct Debit instructions for some types of account.



This Guarantee should be detached and retained by the payer.



The Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit SVM Asset Management Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request SVM Asset Management Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by SVM Asset Management Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society. If you receive a refund you are not entitled to, you must pay it back when SVM Asset Management Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.