

SVM UK ABSOLUTE ALPHA FUND ISA AND OEIC APPLICATION FORM

Please complete this form in BLOCK CAPITALS and return it to your financial adviser or send it to:
SVM Asset Management Limited, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP. If you have any queries about the completion of this form please consult your financial adviser, or telephone 0845 358 1108. Alternatively you can visit our website at www.svmonline.co.uk

* Important details required by the Inland Revenue. You should be able to find your National Insurance Number on a payslip, forms P45 or P60, a letter from the Inland Revenue, a letter from the DSS, or a pension order book.

Personal Details – all applicants

Title	Surname	Date of birth* <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Forename(s) in full		National Insurance number* <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
For Trustee Investments - Name of Trust / Pension Fund / Charity		If you do not have a National Insurance number, please tick this box <input type="checkbox"/>
Permanent residential address		Existing customer reference number (if any)
Postcode		Daytime tel number
Email: For monthly fund updates. (For security, we do not send your individual account details by email)		

** Please note the investor must be over 18 years of age. If an investment is being made directly on behalf of a child then you can indicate this by inserting the child's initials in the designation box.

Personal Details – for direct investment into the OEIC only

Please only complete the following if your direct investment into the OEIC is to be held in joint names.

Title	Surname	Forename(s) in full	Date of birth**
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

If the address of the joint holders is different to that of the first holder, then we need to be advised on a separate sheet to be sent with this form.

Designation (if required)**

Investment Choice – please tick only one

Shares ISA (maximum £7,200) I would like to open an SVM ISA
If you also invested in a cash ISA this year then that amount must be deducted from the £7,200 you are permitted to invest in a Shares ISA

OEIC (no maximum) I would like to make a direct investment into the Fund.

Tax year – This must be completed if you are subscribing for an SVM ISA

I apply to subscribe for an SVM ISA for the following tax year, and each subsequent year until further notice /

Investment Details

Please indicate the amounts you wish to invest together with the method.

Fund	Lump Sum	Monthly
UK Absolute Alpha Fund	£ <input style="width: 50px;" type="text"/>	£ <input style="width: 50px;" type="text"/>

Lump Sum: The minimum investment is £1,000.

Monthly: The minimum investment is £100 per month. The maximum monthly investment for ISAs is £600 per month (ISA only) and unlimited directly into the OEIC. Please complete the Direct Debit section below. **Your first payment must be made by a personal cheque.** Sterling cheques should be payable to 'SVM Asset Management Ltd'

INTERNET APPLICATION FORM

Information provided by you will be held in confidence by SVM Asset Management Limited and its agents to administer your account and will not be passed on to other product or service companies. Your details may also be used by SVM Asset Management to inform you about other products and services we offer. If you would prefer not to receive such information, please tick here.

Declaration

For All Investment Types

- I **declare** that I am over 18 years of age and that this application form has been completed to the best of my knowledge and belief.
- I **understand** that you may require additional information from me in accordance with the money laundering regulations.
- I **have read, understood, and agree to be bound by the Simplified Prospectus and Terms and Conditions (available on our website or on request from SVM as noted below).**
- I **agree** to inform you of any changes in my circumstances.
- I **enclose a cheque made payable to 'SVM Asset Management Ltd'** and I can confirm that all these funds are my own.
- I **authorise** the payment of up to 3% initial commission of the total investment to be made by me to the IFA referred to in the section of this application form above entitled 'Financial adviser details' and authorise you to deduct this payment from my contribution.
- I **understand and agree** that information about me may be obtained from credit reference and fraud prevention agencies to verify my identity.

ISA Only

- I wish to subscribe the total amount detailed overleaf for this tax year to the SVM OEIC ISA. In respect of regular savings, I wish to subscribe to the SVM OEIC ISA, under Direct Debit, the amount set out overleaf, or the maximum permitted subscription, whichever is the lesser, for this tax year and for each subsequent tax year until further notice.
- I **am resident and ordinarily resident** in the UK for tax purposes or, if not so resident, either performing duties which, by virtue of Section 132(4) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas) are treated as being performed in the UK or I am married to, or in a civil partnership with, a person who performs such duties, I will inform SVM Asset Management if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties.
 - I **authorise** SVM Asset Management to: (1) hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; (2) make on my behalf, any claims to relief from tax in respect of my ISA investments; and (3) on my written request to transfer or pay to me, the ISA investments, interest, dividends and any other, rights or proceeds in respect of such investments, or any cash held in the ISA.
 - I **have not** subscribed and will not subscribe more than £7,200 in total to a cash ISA and a shares ISA in the same tax year.
 - I **have not** subscribed and will not subscribe to another shares ISA in the same tax year that I subscribe to this shares ISA.

Signature

Date

OEIC only:

Please complete the signature and date boxes below if you are investing directly into the OEIC in joint names.

Signature

Date

Signature

Date

Signature

Date

Important Note:

In order to comply with the Money Laundering Regulations, please supply either:

- a personal cheque which matches the name on the application form; or
- a Bank / Building Society cheque endorsed with your name to show that you are the account holder.

A cheque drawn on an intermediary's account must be sent with a certificate from that person verifying your identity. If a cheque from a third party is supplied, the Administrator may request additional documentation to verify your identity.

The most recent Simplified Prospectus, Full Prospectus and Report & Accounts for the Fund are offered to you and can be obtained by calling our Fund Information line on 0131 226 7660, visiting our website at www.svmonline.co.uk, or writing to the Marketing Department, SVM Asset Management, 7 Castle Street, Edinburgh EH2 3AH.

The completed application form, together with your cheque, payable to SVM Asset Management Ltd, should be returned to: SVM Asset Management, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP. If you are investing monthly please note that a personal cheque is required for your first payment. Your application cannot be processed without it.

Financial adviser details

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.)

FSA number:

Discount:

Commission payable %:



Instruction to your Bank or Building Society to pay by Direct Debit



Please fill in the whole form and send it to:

SVM Asset Management Limited
Block C
Western House
Peterborough Business Park
Lynchwood
Peterborough PE2 6BP

Originator's Identification No.

8 0 9 9 4 2

Reference No. (for office use)

[Empty boxes for reference number]

To (Bank or Building Society)

Address

[Empty address box]

Postcode

Account name

Account number [][][][][][][][][]

Branch sort code [][] - [][] - [][]

Please pay SVM Asset Management Ltd Direct Debits from the account detailed in this Instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that the Instruction may remain with SVM Asset Management Ltd and, if so, details will be passed electronically to my Bank/Building Society.

Signature

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of accounts

Direct Debit Guarantee

This guarantee is offered by all Banks and Building Societies that take part in the Direct Debit scheme. The efficiency and security of the scheme is monitored and protected by your own Bank or Building Society.



- If the amounts to be collected or the payment dates change SVM Asset Management Ltd will notify you at least 10 days in advance of your account being debited or as otherwise agreed.
- You can cancel a Direct Debit at any time by writing to your bank or building society. Please send a copy of your letter to us.
- If an error has been made by SVM Asset Management Ltd or by your bank or building society, you are guaranteed a full and immediate refund from your branch of the amount paid.

THIS GUARANTEE SHOULD BE DETACHED AND RETAINED BY YOU