

SVM INVESTMENT TRUST INDIVIDUAL SAVINGS ACCOUNT INTERNET APPLICATION FORM



Please complete this form in BLOCK CAPITALS and return it to your financial adviser or send it to **SVM Asset Management Limited, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP**. If you have any queries about the completion of this form please consult your financial adviser, or telephone 0845 358 1108. Alternatively you can visit our website at www.svmonline.co.uk

Personal details

Title	Surname	For Trustee Investments – Name of Trust / Pension Fund / Charity
Forename(s) in full		
Date of birth*		Existing customer reference number (if any)
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
National Insurance number*		Daytime telephone number
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
<input type="checkbox"/> If you do not have a National Insurance number, please tick this box		Email address
Permanent residential address		
<input type="text"/> <input type="text"/> <input type="text"/>		Email for monthly fund updates. (For security, we do not send your individual account details by email.)
Postcode		*Important details required by the Inland Revenue. You should be able to find your National Insurance number on a payslip, forms P45 or P60, a letter from the Inland Revenue, a letter from the DSS, or a pension order book.

Tax year – this must be completed

I would like to open an SVM Investment Trust ISA and invest up to £10,200 in the following tax year and each subsequent year until further notice.

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If you have also invested in a cash ISA this year then that amount must be deducted from the £10,200 you are permitted to invest in a Shares ISA.

Investment details

Please indicate the Fund(s) you wish to invest in and the method you wish to use, together with the amount.

Funds	Lump Sum	Monthly	
SVM Global Fund ZSVVT	£ <input type="text"/>	£ <input type="text"/>	Lump Sum: The minimum investment is a total of £1,000 that can be invested into any of the funds, with a minimum of £200 invested in the fund(s) selected. Monthly: The minimum investment is £50 per month with a minimum investment of £25 per fund. The maximum investment is £850 per month. Please complete the Direct Debit section overleaf. Your first payment must be made by personal cheque. Maximum amount: Please note that the maximum that can be invested is £10,200.
SVM UK Active Fund ZSVUAT	£ <input type="text"/>	£ <input type="text"/>	
SVM UK Emerging Fund ZSVMOF	£ <input type="text"/>	£ <input type="text"/>	
Total (Maximum £10,200)		£ <input type="text"/>	£ <input type="text"/>

Please complete the declaration overleaf. If you wish to receive income from your account please complete and sign the Direct Credit Slip below. Please note that if you do not complete this section, income will automatically be reinvested. If you want to save on a regular basis please complete and sign the Direct Debit mandate overleaf. Monthly savings will normally be debited on the 1st business day of the month. Please make the Sterling cheque for your initial lump sum and/or first monthly contribution payable to **'SVM Asset Management Ltd'**. **Your first monthly payment must be by personal cheque** and can be a different amount (minimum £50 per investor and £25 per Fund) than for your subsequent monthly payment by Direct Debit.

IMPORTANT: You must read and complete the declaration and signature box(es) overleaf before returning this form

INTERNET APPLICATION FORM



Declaration

I wish to subscribe the total amount detailed overleaf for this tax year to the SVM Investment Trust ISA. In respect of regular savings, I wish to subscribe to the SVM ISA, under Direct Debit, the amount set out overleaf, or the maximum permitted subscription, whichever is the lesser, for this tax year and for each subsequent tax year until further notice.

- **I declare** that I am over 18 years of age and that this application form has been completed to the best of my knowledge and belief.
- **I understand that you may require additional information from me in accordance with the money laundering regulations.**
- **I have read, understood, and agree to be bound by the product Key Features and Terms and Conditions.**
- **I agree** to inform you of any changes in my circumstances.
- **I enclose a cheque made payable to 'SVM Asset Management Ltd'** and I can confirm that all these funds are my own.
- **I am resident and ordinarily resident in the UK** for tax purposes or, if not so resident, either performing duties which, by virtue of Section 132(4) of the Income and Corporation Taxes Act 1988 (Crown employees serving overseas) are treated as being performed in the UK or I am married to, or in a civil partnership with, a person who performs such duties, I will inform SVM Asset Management if I cease to be so resident and ordinarily resident or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties.
- **I authorise** SVM Asset Management to: (1) hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments; (2) make on my behalf, any claims to relief from tax in respect of my ISA investments; and (3) on my written request to transfer or pay to me, the ISA investments, interest, dividends and any other, rights or proceeds in respect of such investments, or any cash held in the ISA.
- **I authorise** the payment of up to 3% initial commission of the total investment to be made by me to the IFA referred to in the section (where applicable/completed) of this application form above entitled 'Financial adviser details' and authorise you to deduct this payment from my contribution. I also authorise 0.5% of the value of my investment each year to be paid to the IFA as renewal commission.
- **I understand and agree** that information about me may be obtained from credit reference and fraud prevention agencies to verify my identity.
- **I have not** subscribed and will not subscribe more than £10,200 in total to a cash ISA and Shares ISA in the same tax year.
- **I have not** subscribed and will not subscribe to another Shares ISA in the same tax year that I subscribe to this Shares ISA.

Signature

Date

Important Note: In order to comply with the Money Laundering Regulations, please supply either:

- (i) a personal cheque which matches the name on the application form; or
- (ii) a Bank/Building Society cheque endorsed with your name to show that you are the account holder.

A cheque drawn on an intermediary's account must be sent with a certificate from that person verifying your identity. If a cheque from a third party is supplied, the Administrator may request documentation to verify your identity.

The most recent Report & Accounts, Factsheets and Key Features for the Funds are available on our website, www.svmonline.co.uk If you would prefer to receive a printed copy please call our Fund Information line on 0131 226 6699 or write to the Marketing Department, SVM Asset Management, 7 Castle Street, Edinburgh EH2 3AH.

The completed application form, together with your cheque, payable to SVM Asset Management Ltd, should be returned to: SVM Asset Management, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP.

If you are investing monthly please note that a personal cheque is required for your first payment. Your application cannot be processed without it.

Financial adviser details

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.)

FSA number

Discount

Commission payable %

Information provided by you will be held in confidence by SVM Asset Management Limited and its agents and will not be passed on to other product or service companies. Your details may be used by SVM Asset Management to inform you about other products and services we offer. If you would prefer not to receive such information, please tick here.

SVM Asset Management is authorised and regulated by the Financial Services Authority. Issued April 2010.

INTERNET APPLICATION FORM



Direct Credit Slip

To (Bank or Building Society)	
Address	
	Postcode
Account name	
Account number	
Branch sort code	
Building Society roll no.	

Do not complete unless you are a Lump Sum investor and wish income to be paid out.

Please note: If you do not complete this section, income will be automatically reinvested.

Simply complete the whole form and return it to:
**SVM Asset Management Limited, Block C,
Western House, Peterborough Business Park,
Lynchwood, Peterborough PE2 6BP**

Direct Debit Slip

Instruction to your Bank or Building Society to pay by Direct Debit	
To (Bank or Building Society)	
Address	
	Postcode
Account name	
Account number	
Branch sort code	

Originator's Identification No.

8 0 9 9 4 2



Reference No. (for office use)

Please note: This instruction may only be used in respect of a Sterling account held with a UK Bank or Building Society. Banks and Building Societies may not accept Direct Debit instructions for some types of account.

Please pay SVM Asset Management Limited Direct Debits from the account detailed on this instruction, subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with SVM Asset Management and details will be passed electronically to my Bank/Building Society.

Signature

Date

Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit, SVM Asset Management Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request SVM Asset Management Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by SVM Asset Management Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
– If you receive a refund you are not entitled to, you must pay it back when SVM Asset Management Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



This guarantee should be detached and retained by you.