

SVM INVESTMENT TRUST SAVINGS SCHEME INTERNET APPLICATION FORM



Please complete this form in BLOCK CAPITALS and return it to your financial adviser or send it to **SVM Asset Management Limited, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP**. If you have any queries about the completion of this form please consult your financial adviser, or telephone 0845 358 1108. Alternatively you can visit our website at www.svmonline.co.uk

Personal details

Title	Surname	For Trustee Investments – Name of Trust / Pension Fund / Charity
Forename(s) in full		
Permanent residential address		Existing customer reference number (if any)
Postcode		Daytime telephone number
Email address		Designation (if required)*

Email for monthly fund updates. (For security, we do not send your individual account details by email.)

*Please note the investor must be over 18 years of age. If an investment is being made directly on behalf of a child then you can indicate this by inserting the child's initials in the designation box.

Personal details – for joint applicants

Please note: all applicants must sign the declaration overleaf.

Applicant 2	Title	Surname	Forename(s) in full
Applicant 3	Title	Surname	Forename(s) in full
Applicant 4	Title	Surname	Forename(s) in full

Investment details

Funds		Lump Sum	Monthly
SVM Global Fund	SVTI	£	£
SVM UK Active Fund	SVUATI	£	£
SVM UK Emerging Fund	SVMOFI	£	£
Total		£	£

Please indicate the Fund(s) you wish to invest in and the method you wish to use, together with the amount.

You may invest in all four funds, either by lump sum subject to a minimum £200 lump sum per Fund or monthly subject to a minimum £50 per investor and £25 per Fund. There is no maximum amount.

Gifting

Only complete this section if you would like to invest on behalf of another person who is over the age of 18. The details of this person must be provided here and they must also sign the declaration overleaf.

Title	Surname	Permanent residential address
Forename(s) in full		
		Postcode

Please complete the declaration overleaf. If you wish to receive income from your account please complete and sign the Direct Credit Slip below. Please note that if you do not complete this section, income will automatically be reinvested. If you want to save on a regular basis please complete and sign the Direct Debit mandate below. Monthly savings will normally be debited on the 1st business day of the month. Please make the Sterling cheque for your initial lump sum and/or first monthly contribution payable to **'SVM Asset Management Ltd'**. **Your first monthly payment must be by personal cheque** and can be a different amount (minimum £50 per investor and £25 per Fund) than for your subsequent monthly payment by Direct Debit.

IMPORTANT: You must read and complete the declaration and signature box(es) overleaf before returning this form

INTERNET APPLICATION FORM



Financial adviser details

Intermediary's stamp

(To be completed by the Intermediary if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.)

FSA number

Discount

Commission payable %

Declaration

I/we wish to subscribe the total amount detailed overleaf to the SVM Savings Scheme (Scheme).

In respect of regular savings, I/we wish to subscribe to the Scheme, under Direct Debit, the amount set out overleaf until further notice.

- **I/We declare** that I/we are over 18 years of age and that this application form has been completed to the best of my/our knowledge and belief.
- **I/We understand that you may require additional information from me/us in accordance with money laundering regulations.**
- **I/We have read, understood, and agree to be bound by the product Key Features and Terms and Conditions.**
- **I/We agree** to inform you of any changes in my/our circumstances.
- **I/We enclose a cheque made payable to 'SVM Asset Management Ltd'** and I can confirm that all these funds are my/our own.
- **I/We authorise** SVM Asset Management to: hold my/our cash subscriptions, Scheme investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- **I/We do not require** full contract notes in relation to any purchase of ordinary shares in the companies overleaf made on my/our behalf under the Scheme, but would ask you to send me/us details of transactions in accordance with the Terms and Conditions of the Scheme;
- **I/We authorise** the payment of up to 3% initial commission of the total investment to be made by me/us to the IFA referred to in the section (where completed/appropriate) of this application form above entitled 'Financial adviser details' and authorise you to deduct this payment from my/our contribution. I/We also authorise 0.5% of the value of my/our investment each year to be paid to the IFA as renewal commission.
- **I/We agree** that my/our investments in the scheme will be governed by the terms and conditions of the scheme.
- **I/We understand and agree** that information about me/us may be obtained from credit reference and fraud prevention agencies to verify my identity.

SIGNATURE(S) All applicants must sign. If the section overleaf entitled 'Gifting' has been completed, the person who is being gifted the shares must sign this Declaration in the relevant section below, otherwise the application will not be accepted.

Please sign and date the boxes opposite if you are the primary applicant.

Signature

Date

Please sign and date the boxes opposite if you are joint applicants.

Signature

Date

Signature

Date

Signature

Date

Please sign and date the boxes opposite if you completed the 'Gifting' section overleaf.

Signature

Date

Important Note: In order to comply with the Money Laundering Regulations, please supply either:

- (i) a personal cheque which matches the name on the application form; or
- (ii) a Bank/Building Society cheque endorsed with your name to show that you are the account holder.

A cheque drawn on an intermediary's account must be sent with a certificate from that person verifying your identity. If a cheque from a third party is supplied, the Administrator may request documentation to verify your identity.

The most recent Report & Accounts, Factsheets and Key Features for the Funds are available at www.svmonline.co.uk. If you would prefer to receive a printed copy please call our Fund Information line on 0131 226 6699 or write to the Marketing Department, SVM Asset Management, 7 Castle Street, Edinburgh EH2 3AH.

The completed application form, together with your cheque, payable to SVM Asset Management Ltd, should be returned to: SVM Asset Management, Block C, Western House, Peterborough Business Park, Lynchwood, Peterborough PE2 6BP.

If you are investing monthly please note that a personal cheque is required for your first payment. Your application cannot be processed without it.

Information provided by you will be held in confidence by SVM Asset Management Limited and its agents and will not be passed on to other product or service companies. Your details may be used by SVM Asset Management to inform you about other products and services we offer. If you would prefer not to receive such information, please tick here.

SVM Asset Management is authorised and regulated by the Financial Services Authority. Issued April 2010.

INTERNET APPLICATION FORM



Direct Credit Slip

To (Bank or Building Society)	
Address	
	Postcode
Account name	
Account number	
Branch sort code	
Building Society roll no.	

Do not complete unless you wish income to be paid out.

Please note: If you do not complete this section, income will be automatically reinvested.

Simply complete the whole form and return it to:
**SVM Asset Management Limited, Block C,
Western House, Peterborough Business Park,
Lynchwood, Peterborough PE2 6BP**

Direct Debit Slip

Instruction to your Bank or Building Society to pay by Direct Debit	
To (Bank or Building Society)	
Address	
	Postcode
Account name	
Account number	
Branch sort code	

Originator's Identification No.

8 0 9 9 4 2



Reference No. (for office use)

Please note: This instruction may only be used in respect of a Sterling account held with a UK Bank or Building Society. Banks and Building Societies may not accept Direct Debit instructions for some types of account.

Please pay SVM Asset Management Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with SVM Asset Management and details will be passed electronically to my Bank/Building Society.

Signature

Date

Direct Debit Guarantee

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit SVM Asset Management Ltd will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request SVM Asset Management Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by SVM Asset Management Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
– If you receive a refund you are not entitled to, you must pay it back when SVM Asset Management Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.



This guarantee should be detached and retained by you.